

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Mail Stop Patent Application**  
COMMISSIONER OF PATENTS  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Bruce ANCONA and Louis HENRY for  
Inventor(s)

A STERILIZER FOR SMALL ITEMS USED BY BABIES AND CHILDREN  
Title of Invention

**APPLICATION ELEMENTS ENCLOSED:**

1. X Specification (total pages 19) including:
  - a. 4 pages of claims (14 claims)
  - b. 1 page(s) Abstract
2. X 7 sheets of X informal    formal drawings (Figs. 1-7)
3. X Oath or declaration of Applicant(s) (3 total pages)
  - a. X Newly executed (original or copy)
  - b.    Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4.    Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5.    Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.    Microfiche Computer Program (Appendix)

**APPLICATION PARTS ENCLOSED:**

7. X An assignment of the invention to Kash 'N Gold, Ltd. (cover sheet & document(s))
8.    37 CFR 3.73(b) Statement (when there is an assignee)
9. X Power of Attorney.
  - a. X Newly executed (original or copy)
  - b.    Copy from a prior application

10. \_\_\_ Information Disclosure Statement (IDS)/PTO-1449

\_\_\_ Copies of IDS Citations

11. \_\_\_ A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. X Applicant is entitled to assert small entity status pursuant to 37 C.F.R. §1.9 and §1.27.

14. \_\_\_ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

☐ Divisional of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

15. \_\_\_ Amend the specification by inserting before the first line of page one: --This is a \_\_\_ continuation  
\_\_\_ divisional of application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.--

16. \_\_\_ Priority of application No(s). \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_. Applicant hereby claims priority  
under 35 U.S.C. § 119.

\_\_\_ A certified copy of priority application No. \_\_\_\_\_ is enclosed.

\_\_\_ A certified copy of priority application No. \_\_\_\_\_ has been filed in prior application  
S.N. \_\_\_\_\_ filed \_\_\_\_\_

17. \_\_\_ Other (identify) \_\_\_\_\_

The filing fee is calculated as follows:

**CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT**

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	14 -20	=	0	x	\$ 9	\$ 18	=	\$0	\$0
Indep. Claims	2 - 3	=	0	x	\$ 43	\$ 86	=	\$0	\$0
Multiple Dependent Claims Presented: Yes___ No <u>X</u>					\$145	\$290	=	\$0	\$0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 385	\$770
					Total Fee			\$ 385	\$

- CORRESPONDENCE ADDRESS:

Respectfully submitted,

By:

Norman H. Zivin  
Reg. No. 25,385  
c/o Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
Tel.: (212) 278-0400  
Attorney for Applicant

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Bruce ANCONA and Louis HENRY  
Serial No. : Not yet known  
Filed : Herewith  
For : A STERILIZER FOR SMALL ITEMS USED BY BABIES AND CHILDREN

Mail Stop Patent Application  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

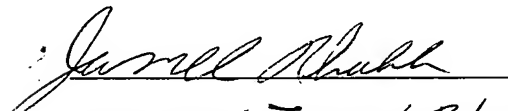
Sir:

**EXPRESS MAIL CERTIFICATE OF MAILING**

"Express Mail" mailing label number: ET735 976 183US


Date of Deposit: April 14, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents, P.O. Box 1450  
Alexandria, VA 22313-1450

  
Printed Name: Samuel R. Kass

Date: April 14, 2004

Respectfully submitted,

  
NORMAN H. ZIVIN  
Reg. No. 25,385  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 425.00
**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	ANCONA et al.
Examiner Name	
Art Unit	
Attorney Docket No.	1522/72063/NHZ

**METHOD OF PAYMENT** (check all that apply)
☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:
 Deposit Account Number  
 Deposit Account Name

03-3125

Cooper &amp; Dunham LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$ 385.00)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
14	-20** = 0	9	0
2	-3** = 0	43	0
Multiple Dependent			0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	40.00
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 40.00**SUBMITTED BY**

Name (Print/Type) Norman H. Zivin

Signature

Registration No. 25,385  
(Attorney/Agent)

(Complete if applicable)

Telephone 212-278-0400

Date

April 14, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.